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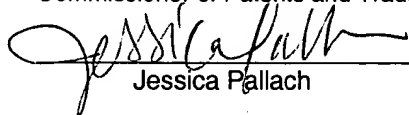
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I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D. C. 20231.

  
Jessica Pallach



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In re Application of:** Du et al.

**Docket No:** 360D0002

**Serial No. :** 09/919,076

**Art Unit:** Unassigned

**Filed:** July 30, 2001

**Examiner:** Unassigned

**Title:** SMART CARD SECURITY INFORMATION CONFIGURATION AND RECOVERY SYSTEM

### INFORMATION DISCLOSURE STATEMENT

RECEIVED

Assistant Commissioner for Patents  
Washington, DC 20231

SEP 23 2002

Technology Center 2100

Sir:

This Information Disclosure Statement is submitted:

- ( ) under 37 CFR 1.97(b), or  
(within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- (X) under 37 CFR 1.97(c) together with either a:
  - (X) Certification under 37 CFR 1.97(e), or
  - ( ) a \$220.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ( ) under 37 CFR 1.97(d) together with a:
  - ( ) Certification under 37 CFR 1.97(e), and
  - ( ) a \$220.00 fee under 37 CFR 1.17(d)(2)(ii), and
  - ( ) a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1)  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)
- (X) The Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 07-1445 (Order No. 360D0002). A copy of this sheet is enclosed for accounting purposes.

(X) Applicant(s) submit herewith Form PTO 1449 -- Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.25.

( ) A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 156(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,



Michael A. Glenn  
Reg. No. 30,176

Customer No. 22862



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:  
Du et al.

Serial No. 09/919,076

Filed: July 30, 2001

For: Smart Card Security Information  
Configuration and Recovery System

Group: Unassigned

Examiner: Unassigned

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Technology Center 2100

**CERTIFICATION FOR  
INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§1.97(e)**

Commissioner of Patents  
and Trademarks  
Washington, DC 20231

Dear Sir:

A certification is being made for the Information Disclosure Statement accompanying this certification.

**CERTIFICATION**

I, the person signing below, certify

(x) that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement. (37 CFR 1.97(e)(1))

( ) that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 CFR 1.97(e)(2).

The person making this certification is the attorney who signs below on the basis of the information:

- ( ) supplied by the inventor(s)
- ( ) supplied by an individual designated in §1.56(c)
- (x) in the attorney's file

the attorney who signs below on the basis on the information in the attorney's files.

Respectfully submitted,



Michael A. Glenn  
Reg. No. 30,176

Customer Number 22862



<b>Form 1449 (Modified)</b>  <b>Information Disclosure Statement By Applicant</b>  (Use Several Sheets if Necessary)	Atty Docket No. 360D0002 Applicant: Du et al. Filing Date: 7/30/01	Serial No.: 09/919,076  Group Unassigned
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#### U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
	A	6,003,762	12/21/99	Hayashida	235	379	7/26/94

#### Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
	B	2 772 957	12/19/97	FRANCE	G07F	7/08		X

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#### Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
Examiner		Date Considered

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.